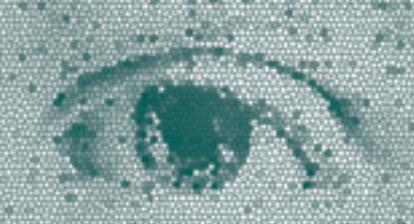


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## Foreword from the Chair

*This annual report covers the period 1st April 2006 to 31st March 2007.*

The Board has met formally once over this period, and held one teleconference. (There were formal meetings in March 2006 and April 2007 but these dates fall outside the reporting period). Board subcommittees continued their business by teleconference and electronic-mail.

The year has been very busy for all Board committees and members who have proceeded with their duties in a committed and professional manner. The requirements of the Health Practitioners Competence Assurance Act 2003 (HPCA Act) have involved Board members and appointed committee members working diligently toward fulfilling their specific areas of responsibility. The energy and valuable input of all involved is acknowledged and appreciated.

Under s27 of the HPCA Act the Board is required (in assessing an applicant for an annual practising certificate) to determine if the applicant has failed to maintain the required standard of competence. To this end the major Board activities this year have centred on finalising the competence assessment process. The Board received valuable input from the professions and the educational institutions into this process. (The format of the process is noted elsewhere in this report.) This period sees the end of the first two year CPD reporting period for optometrists. Those who failed to attain the stated CPD requirements (34 optometrists) were required to complete a self-audit which was then assessed by the Board Competence Review Committee who determined whether further performance assessment was indicated. This process continued into the following period. The process of self-auditing a randomly selected 20% of optometrist APC holders was also initiated in this period. Optometrist Board members were included in this group.

For dispensing opticians the first two year CPD reporting period will finish in the following period and the same process will apply.

The Board has been frustrated by the Ministry's interpretation of s7 which in the Board's view does not align with the principle purpose of the Act to protect the health and safety of members of the public. The Board has corresponded with the Ministry in this area and will note its concerns with the pending review of the Act.

In this my first year as Board Chair I would like to acknowledge the support and input of Deputy Chair (and previous Chair) Peter Grimmer; the dedication and hard work of Registrar, Jenny Lee and Deputy Registrar, Elizabeth Maddren and the contribution and commitment of all Board members who work tirelessly on Board business through the year.

**Vivian Edgar**  
Chair

## Board Staff

Jenny Lee has continued in her role as Board Registrar, and Elizabeth Maddren was appointed under the HPCA Act as Deputy Registrar in February 2007. The Board is fortunate to have Jenny and Elizabeth in these positions and is very grateful for their diligence and considerable input into Board proceedings.

## Membership of the Board

As at 31 March 2007, the Board membership comprised:

- Five registered optometrists
- Three registered dispensing opticians
- Three other persons (none of whom is a registered optometrist or registered dispensing optician)

The Minister of Health appoints the members of the Board by notice published in the Gazette. Board members attend meetings and undertake Board activities as independent persons responsible to the Board as a whole. Members are not appointed as representatives of any professional organisation, but for their expertise and general experience. The Chairperson and Deputy Chairperson are required by the Act to be elected by the Board at its first meeting of each calendar year.

The Act enables the Board to determine the remuneration, allowances and expenses to be paid to members of the Board and its committees. The Board considers that members should not contribute their time to Board business for no recompense, but this needs to be balanced against the element of voluntary contribution inherent in serving on the board, with remuneration at a rate fairly reflecting that balance. This year saw continuance of Board committees with appointed members (Optometrist CPD Accreditation and Dispensing Opticians CPD Accreditation) and the initiation and training of Performance Assessment Committee members.

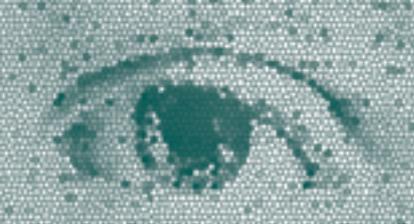
### Board members

There were no changes over this period.

Board members remuneration is set at: Chair \$680 per day (8 hrs)  
Board members \$480 per day (8 hrs)

#### *Members are:*

Vivian Edgar ( <i>Chairperson</i> )	Optometrist
Peter Grimmer ( <i>Deputy Chairperson</i> )	Optometrist
Michael Blackman	Dispensing Optician
Hywel Bowen	Optometrist
Michael Firmston	Dispensing Optician
Annette Keleher	Optometrist
George Lazaridis	Layperson
Carrick Lewis	Layperson
John McLennan	Optometrist
Sashi Meanger	Layperson
Joanne Ryan	Dispensing Optician



## Registration and Registration Data

### Registration Statistics – Optometrists

The registration figures in this report are less than in the previous report, as the date of the next Board meeting (13 April 2007) was after the end of the reporting year. There were a significant number of new registrations in the following period.

There were thirteen optometrists registered by the Board between 1 April 2006 and 31 March 2007. This included:

- 3 from New Zealand
- 1 having passed the Competency in Optometry Examination
- 9 from Australia (registering under the Trans-Tasman Mutual Recognition Act 1997)
- 1 from the USA (Educator Optometrist)

They held the following qualifications:

- Bachelor of Optometry (University of Auckland)
- A pass in the Competency in Optometry Examination
- Registration under the Trans-Tasman Mutual Recognition Act 1997
- Doctor of Optometry (USA/Canada applicant in the Educator Optometrist scope of practice)

There were nineteen optometrists who completed the Certificate of Ocular Pharmacology and the Auckland Programme in Ocular Therapeutics (TAPIOT) course from the Department of Optometry and Vision Science, the University of Auckland and were able to change their scope of practice and register in the Optometrist (TPA endorsement) scope.

A total of 140 optometrists were removed from the register, as they had either requested to be removed from the register, or had not replied within six months to a letter sent to their last known address stating that their registration may be cancelled if they did not reply. The Board had discretion under section 144(5) of the HPCA Act to remove these people from the register.

### Registration Statistics – Dispensing Opticians

There were eighteen dispensing opticians registered between 1 April 2006 and 31 March 2007. This included:

- 14 with the Australian qualification
- 4 from the United Kingdom

They held the following qualifications:

- OTEN/TAFE Certificate IV in Optical Dispensing
- Fellow of the Association of British Dispensing Opticians (FBDO)

A total of 30 dispensing opticians were removed from the register, as they had either requested to be removed from the register, or had not replied within six months to a letter sent to their last known address stating that their registration may be cancelled if they did not reply. The Board had discretion under section 144(5) of the HPCA Act to remove these people from the register.

## Register Statistics as at 31 March 2007

Total number of optometrists on the Register of Optometrists	821
Total number of optometrists holding an Annual Practising Certificate	541
Total number of dispensing opticians on the Register of Dispensing Opticians	163
Total number of dispensing opticians holding an Annual Practising Certificate	133

## Legislation

The Board continues as an authority under the Health Practitioners Competence Assurance Act 2003, which came fully into force on 18 September 2004. The review of the Act is due to start in September 2007.

## Board Functions

The purpose of the Act under which the Board continues is to protect the health and safety of the public by providing mechanisms to ensure that optometrists and dispensing opticians are competent and fit to practise their professions.

The Board has four main areas of specific responsibility

1. It must set standards of clinical competence, cultural competence and ethical conduct. It must review and promote the competence of optometrists and dispensing opticians and in doing so recognise and set programmes to ensure ongoing competence. This includes promoting education and training.
2. It must prescribe qualifications for the scopes of practice it has set and for that purpose it may accredit and monitor teaching institutions.
3. It must authorise registration of optometrists and dispensing opticians, maintain a register and consider applications for Annual Practising Certificates.
4. It must receive and act on information about the competence of health practitioners especially where that practitioner poses a risk of harm to the public.

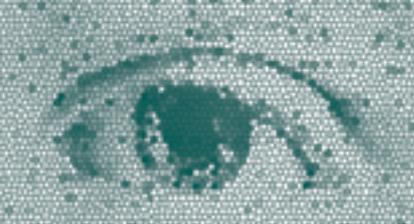
## Board activities under the Health Practitioners Competence Assurance Act 2003

- **Clinical competence, cultural competence and standards of ethical conduct**

The Board standards of competence (clinical and cultural) and standards of ethical conduct are available on the Board's website and able to be downloaded. They are considered to be "working documents" – i.e. they remain open to comment and review – if you have any comment to make at any time, please contact the Board office.

Board website: <http://www.optometristsboard.org.nz>

<http://www.dispensingopticiansboard.org.nz>



- **Qualifications**

*Optometry*

*NZ qualification BOptom:*

Optometry students completing their degrees from 2006 onward will be registerable in the optometrist (TPA endorsement) scope of practice. Registration in this scope allows optometrists to prescribe therapeutic pharmaceutical agents.

*Overseas qualifications:*

The Optometry Council of Australia and New Zealand (OCANZ) continues to carry out the assessment of overseas trained optometrists on the Board's behalf. The written component of the Competency in Optometry Examination is now available in Washington DC (hosted by the American Optometric Association) as well as in London, Johannesburg, Auckland and some Australian capitals. The Board continues to debate the length and content of the written papers with OCANZ and is pleased that OCANZ has initiated work on implementing a multichoice component for candidates undertaking the Competency in Optometry Examination.

*Postgraduate TPA Course:*

There was no postgraduate course available in NZ in this period to enable registered optometrists to qualify to register in the optometrist (TPA endorsement) scope of practice (to enable them to prescribe therapeutic pharmaceutical agents).

*Optical Dispensing:*

The New Zealand venue for the practical assessment examinations of OTEN/TAFE Cert IV in Optical Dispensing has been changed to Wellington.

- **Recertification**

*Diagnostic Pharmaceutical Agent Recertification Programme:*

33 optometrists passed the didactic component of the first course of the recertification programme. After discussions with the NZ Association of Optometrists and as a result of a survey conducted with all optometrists yet to complete the requirements for recertification in DPAs, the Board has been in negotiation with the Department of Optometry and Vision Science of the University of Auckland, to establish an alternative format for the didactic component of the programme. The two formats will be available in 2008.

- **Continuing professional development (CPD)**

Both Board CPD accreditation committees (the Optometrist CPD Accreditation Committee and the Dispensing Optician CPD Accreditation Committee) have established robust protocols for the accreditation of CPD activities. The protocols can be viewed on the Board website. These committees continue to work hard to ensure that the CPD available to registered optometrists and dispensing opticians fulfils the Board's requirements. The diligence and input of committee members is acknowledged and very much appreciated by the Board.

- **Competence review process**

The Board has formulated its competence review process and established the tools required to implement these processes. The Board held a training day for prospective performance assessment committee members in February 2007. The Board is grateful to the Dental Council of New Zealand for their assistance in the training. The Board now has 18 members of the professions and four lay people who have been trained to sit on performance assessment committees, should the need arise.

The requirements of the competence assessment process are:

- Completion of appropriate CPD requirement biannually
- Completion of a self-audit form by a randomly selected 20% of practitioners annually. In this reporting period this process was initiated with optometrists at the time of renewal of their Annual Practising Certificate.
- Practitioners who failed to maintain the CPD requirement over the last two years, were also required to complete the self-audit.

The self-audit forms were assessed by the Board's Competence Review Committee, who determines the next stage of the process (either the practitioner is considered to be practicing at a safe level, or further assessment is required). This has resulted in several practitioners who had CPD deficits being referred to Performance Assessment Committees for further assessment.

The Board is still working on the development of a back to work policy for practitioners who have been out of the workforce for more than three years.

- **Complaints**

The Board received one notice from the Health and Disability Commissioner under s34(2) of the Health and Disability Commissioner Act 1994, which the Board is currently investigating.

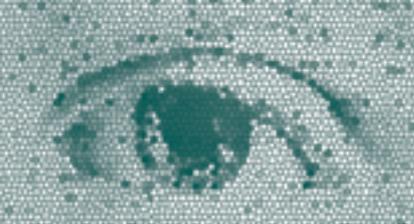
- **Restricted activities**

The restricted activities enactment guidelines process under section 9 of the HPCA Act has now been completed. Of particular relevance to the optometry and optical dispensing professions is restricted activity 4, one of the only six restricted activities for all registered health professions.

“prescribing of an ophthalmic appliance, optical appliance or ophthalmic medical device intended for remedial or cosmetic purposes or for the correction of a defect of sight.”

*This restriction is intended to address the significant risk of asymptomatic eye disease associated with the dispensing of an ophthalmic appliance, optical appliance or ophthalmic medical device, without the first step of a diagnosis by a registered health practitioner.*

This restricted activity and its guideline serves to protect the health and safety of the public by ensuring that, first, a diagnosis takes place by a health practitioner qualified to make that diagnosis, before a member of the public is prescribed a remedy for a visual problem.



## Optometrists prescribing therapeutic agents

Regulations made under the Medicines Act 1981 were passed in October 2005 to authorise optometrists who meet specified requirements for qualifications, training and competence, to prescribe certain prescription medicines.

HealthPAC has implemented changes in its software so that the trends in optometrist prescribing can be monitored. The recording of this data should enable the Board fulfil its requirements to audit and monitor optometrist prescribing. There has been a few teething problems in the quality of the data received by the Board, and the Board may look at alternative ways of capturing this data in the future.

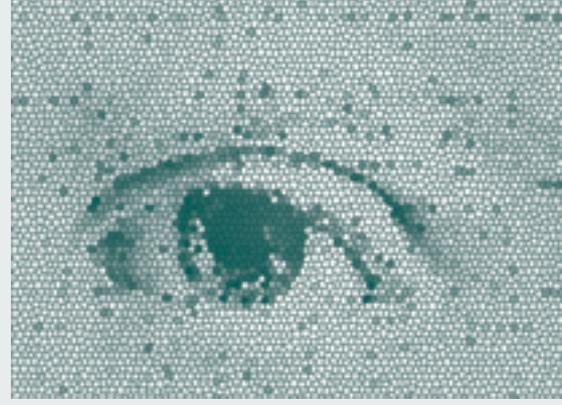
The Board (in conjunction with the NZ Association of Optometrists) continues to address the problem of patients of optometrist prescribers not having access to subsidies for drugs listed in the PHARMAC Pharmaceutical Schedule as “retail pharmacy-specialist” medicines, and to challenge PHARMAC’s decision on this matter.

## Council of Optometry Registration Authorities (CORA)

CORA (which includes representatives of the optometry registration authorities in New Zealand and Australia) meets yearly. This year saw the incorporation of CORA and the formalisation of CORA funding agreements enabling the New South Wales and Northern Territory Boards to become funding members. Up until now the New South Wales Board has not been a participant in the funding of CORA because of its relationship with the Health Administration Corporation in that State, so (potentially) exposing the New South Wales government to liability. The Board watches with interest, the movements in Australia toward national registration of health professions including optometry.

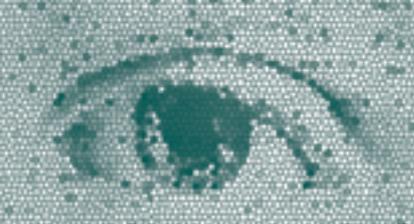
## Health Regulatory Authorities of New Zealand

HRANZ has met once this year. Its membership includes representatives of all health regulatory authorities in New Zealand and provides a forum for matters of common interest as well as capacity to make representations. One area of concern for all regulatory authorities is the interpretation of s7. This Board acknowledges the ongoing assistance and contributions that other registration authorities have given and continue to give it in fulfilling its obligations under the Act.



OPTOMETRISTS & DISPENSING OPTICIANS BOARD

# 2007 Financial Statements



Martin Jarvie PKF  
Chartered Accountants



**AUDIT REPORT**  
**TO THE READERS OF THE**  
**OPTOMETRISTS AND DISPENSING OPTICIANS BOARD**  
**FINANCIAL STATEMENTS**  
**FOR THE YEAR ENDED 31 MARCH 2007**

The Auditor-General is the auditor of the Optometrists and Dispensing Opticians Board (the Board) The Auditor-General has appointed me, Robert Elms, using the staff and resources of Martin Jarvie PKF, to carry out the audit of the financial statements of the Board, on his behalf, for the year ended 31 March 2007.

**Unqualified Opinion**

In our opinion:

- The financial statements of the Board on pages 12 to 15:
  - comply with generally accepted accounting practice in New Zealand; and
  - fairly reflect:
    - the Board's financial position as at 31 March 2007; and
    - the results of its operations for the year ended on that date.

The audit was completed on 4 October 2007, and is the date at which our opinion is expressed.

The basis of the opinion is explained below. In addition, we outline the responsibilities of the Members of the Board and the Auditor, and explain our independence.

**Basis of Opinion**

We carried out the audit in accordance with the Auditor-General's Auditing Standards, which incorporate the New Zealand Auditing Standards.

We planned and performed our audit to obtain all the information and explanations we considered necessary in order to obtain reasonable assurance that the financial statements did not have material misstatements whether caused by fraud or error.

Material misstatements are differences or omissions of amounts and disclosures that would affect a reader's overall understanding of the financial statements. If we had found material misstatements that were not corrected, we would have referred to them in the opinion.

Our audit involved performing procedures to test the information presented in the financial statements. We assessed the results of those procedures in forming our opinion.

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Martin Jarvie PKF is an independent member of PKF International



Audit procedures generally include:

- determining whether significant financial and management controls are working and can be relied on to produce complete and accurate data;
- verifying samples of transactions and account balances;
- performing analyses to identify anomalies in the reported data;
- reviewing significant estimates and judgements made by the Members of the Board;
- confirming year-end balances;
- determining whether accounting policies are appropriate and consistently applied; and
- determining whether all financial statement disclosures are adequate.

We did not examine every transaction, nor do we guarantee complete accuracy of the financial statements.

We evaluated the overall adequacy of the presentation of information in the financial statements. We obtained all the information and explanations we required to support the opinion above.

### **Responsibilities of the Members of the Board and the Auditor**

The Members of the Board are responsible for preparing financial statements in accordance with generally accepted accounting practice in New Zealand. Those financial statements must fairly reflect the financial position of the Board as at 31 March 2007. They must also fairly reflect the results of its operations for the year ended on that date. The Members of the Board's responsibilities arise from the Health Practitioners Competence Assurance Act 2003.

We are responsible for expressing an independent opinion on the financial statements and reporting that opinion to you. This responsibility arises from section 15 of the Public Audit Act 2001 and section 134(1) of the Health Practitioners Competence Assurance Act 2003

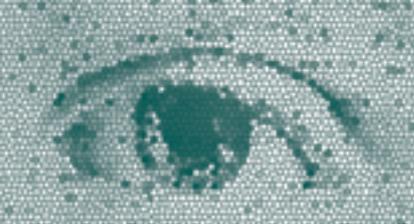
### **Independence**

When carrying out the audit we followed the independence requirements of the Auditor-General, which incorporate the independence requirements of the Institute of Chartered Accountants of New Zealand.

Other than the audit, we have no relationship with or interests in the Board.

**Robert Elms**  
Martin Jarvie PKF

On behalf of the Auditor-General  
Wellington, New Zealand



**STATEMENT  
OF FINANCIAL  
PERFORMANCE**  
for the year ended  
31 March 2007

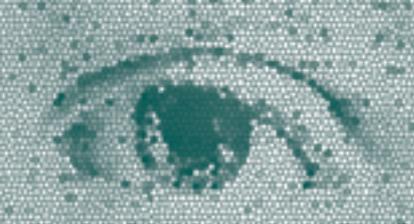
	2007	2006
	\$	\$
<b>REVENUE</b>		
Registration Fees	22,453	25,134
Annual Practising Certificates	399,465	377,196
Interest Income	34,097	29,173
Other Income	17,226	695
<b>TOTAL REVENUE</b>	<b>473,241</b>	<b>432,198</b>
<b>LESS EXPENDITURE</b>		
Audit Fees	2,451	1,395
Bank Charges	5,729	3,386
Board Member Fees	22,929	32,741
Committee Fees	41,048	20,826
Conferences/Seminars	2,823	695
Catering	902	3,219
Training/Workshops	1,827	2,182
Hire of Plant & Equipment	1,065	976
Legal Fees	8,159	14,217
HPDT-Establishment Cost	–	258
HPDT Disbursements	–	960
Ex Officer Hearing	–	231
HPDT	23	237
Postage	6,885	6,226
Printing & Stationery	9,701	8,729
Professional Fees	93,163	108,992
Publications	–	187
Service Charges	177,114	139,988
Website	9,505	479
Teleconference	25	997
Telephone	5,000	2,757
Travelling Expenses	30,541	18,544
Desktop Publishing	–	4,292
<b>TOTAL EXPENDITURE</b>	<b>418,890</b>	<b>372,514</b>
<b>NET SURPLUS/(DEFICIT)</b>	<b>54,351</b>	<b>59,684</b>

The attached NOTES form part of these Financial Statements

STATEMENT  
OF MOVEMENTS  
IN EQUITY  
for the year ended  
31 March 2007

	2007 \$	2006 \$
Equity at Beginning of Year	263,840	204,156
Net Surplus/(Deficit) for Year	54,351	59,684
<b>EQUITY AT END OF YEAR</b>	<b>318,191</b>	<b>263,840</b>

The attached NOTES form part of these Financial Statements



**STATEMENT  
OF FINANCIAL  
POSITION**

as at  
31 March 2007

	2007	2006
	\$	\$
<b>EQUITY</b>	318,191	263,840
<b>Represented By:</b>		
<b>CURRENT ASSETS</b>		
Accrued Income	4,314	3,515
Westpac Cheque Account	358,809	387,860
Westpac Term Deposits	352,145	282,926
Prepayments	2,378	-
<b>TOTAL CURRENT ASSETS</b>	<b>717,646</b>	<b>674,301</b>
<b>TOTAL ASSETS</b>	<b>717,646</b>	<b>674,301</b>
<b>CURRENT LIABILITIES</b>		
Income in Advance – 2008	329,147	353,446
Accounts Payable	37,718	21,951
GST Payable	32,590	35,064
<b>TOTAL CURRENT LIABILITIES</b>	<b>399,455</b>	<b>410,461</b>
<b>TOTAL LIABILITIES</b>	<b>399,455</b>	<b>410,461</b>
<b>NET ASSETS</b>	<b>318,191</b>	<b>263,840</b>

For and on behalf of the Board

Chairperson:

Date: 4/10/07

Registrar:

Date: 4/10/07

The attached NOTES form part of these Financial Statements

## NOTES TO THE 2007 FINANCIAL STATEMENTS

### 1. STATEMENT OF ACCOUNTING POLICIES

#### REPORTING ENTITY

The Board is constituted under the Health Practitioners Competence Assurance Act 2003.

These Financial Statements have been prepared in accordance with the Financial Reporting Act 1993.

The Board qualifies for differential reporting as it is not publicly accountable and is not large. The Board has taken advantage of all applicable differential reporting exemptions.

#### GENERAL ACCOUNTING POLICIES

The Measurement base adopted is that of historical cost. Reliance is placed on the fact that the business is a going concern.

Accrual accounting is used to match expenses and revenues.

#### CHANGES IN ACCOUNTING POLICIES

All accounting policies are unchanged and have been consistently applied.

#### SPECIFIC ACCOUNTING POLICIES

##### Annual Practising Certificate Income

Annual Practising Certificate Income is recorded only upon receipt. No Accounts Receivable are recognised and receipts for Annual Practising Certificates issued for future years are shown as Income Received in Advance.

##### Goods & Services Tax

The Financial Statements have been prepared on a tax exclusive basis with the exception of Accounts Receivable and Accounts Payable which include GST.

##### Investments

Investments are recognised at cost. Investment income is recognised on an accruals basis where appropriate.

##### Income Tax

The Board has been granted Charitable Status by the Inland Revenue Department. Therefore, under exemption CW34(1)(b) of the Income Tax Act 2004 the Board is exempt from Income Tax.

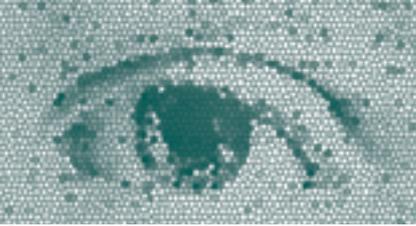
### 2. CONTINGENT LIABILITIES AND COMMITMENTS

A contractual liability of \$158,667 (2006: \$317,334) exists to the Registration Boards Secretariat Limited regarding service charges until 31 March 2008. A Contractual liability for \$43,750 due within one year (2006: \$75,000) exists to NZAO regarding professional services. A Contractual liability for \$14,752 due within one year and \$36,880 due greater than one year (2006: \$14,752) exists to ADONZ regarding professional services.

There are no contingent liabilities (2006: Nil).

### 3. RELATED PARTY TRANSACTIONS

There were no transactions involving related parties during the year, other than those already disclosed elsewhere in these Financial Statement.



## Contacting the Board

***All correspondence should be addressed to:***

The Registrar  
Optometrists and Dispensing Opticians Board  
P O Box 10–140  
Wellington

***The Board's office is located at:***

Level 21, Grand Plimmer Tower  
2–6 Gilmer Terrace  
Wellington

**Tel:** (64) (4) 474 0705

**Fax:** (64) (4) 472 2350

**E-mail:** [oanddo@regboards.co.nz](mailto:oanddo@regboards.co.nz)

**Web:** [www.optometristsboard.org.nz](http://www.optometristsboard.org.nz) **and**  
[www.dispensingopticiansboard.org.nz](http://www.dispensingopticiansboard.org.nz)

***Secretariat Staff:***

Jenny Lee (Registrar)

Elizabeth Maddren (Deputy Registrar)